

PRIMERICA SHAREHOLDER SERVICES - UGMA/UTMA Change of Custodian Request for New Custodian

To Whom It May Concern:

Thank you for your inquiry regarding information related to a UGMA/UTMA when the custodian registered on the account is changing due to divorce, or custodian has resigned or been removed from their custodial duties.

In response to your request, we have included information regarding a redemption/transfer of the account assets. Please read this letter carefully and the instructions provided. Acting as the current or successor custodian, you must provide appropriate documentation to support that you are the person authorized to act on behalf of the account.

The following documents will be required by the Transfer Agent to process a redemption/transfer of assets from the account or provide information on the account to a new custodian. In response to your request, we have included information regarding redemption/transfer of assets from a UGMA/UTMA account. Please refer to the chart below to determine the documents that will be required to process your requested transaction(s). The documents required to be submitted with your request is based on the **type of signature guarantee** you are able to obtain and provide to Primerica Shareholder Services and the **type of transaction** (redeem or transfer assets) you are requesting.

An original signature guarantee may be obtained from an officer of most financial institutions. A medallion signature guarantee is preferable. By obtaining a **Medallion Signature Guarantee**, you will not be required to furnish certain documentation. If the signature guarantee is NOT medallion, you will be required to furnish additional documentation, and provide the name and phone number of the person providing the signature guarantee for verification purposes. Please note that a notary public cannot provide a signature guarantee. A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

Documents Required	To Redeem- <u>With</u> Medallion Signature Guarantee	To Redeem- <u>Without</u> Medallion Signature Guarantee (Non- Medallion Signature Guarantee)	To Transfer - - <u>With</u> Medallion Signature Guarantee	To Transfer- <u>Without</u> Medallion Signature Guarantee
Letter of instructions clear and concise signed by the successor custodian to include account number, or completed UGMA/UTMA Redemption/Transfer Form	✓	✓	✓	✓
Documentation of resignation of custodian (may be signed and dated resignation notice, notarized by a notary public)	✓	✓	✓	✓
Documentation of successor appointment by any one of the following: <ol style="list-style-type: none"> 1. Notarized written successor designation signed by the current custodian of record -Witnessed by someone other than the new successor custodian -Documentation must be dated prior to custodian resignation -May be same document as resignation notice, original notice or certified copy 2. Copy of excerpts from divorce decree naming custodian 3. <u>Court certified document</u> appointing successor custodian 4. <u>Notarized letter from the minor</u> 14 years of age or older appointing new custodian 	✓	✓	✓	✓
<ol style="list-style-type: none"> 1. New Account Application SB52 indicating new UGMA/UTMA account registration (you must contact a PFS Representative for assistance in completing 1 and 2) 2. Customer Identification Form (CIP) for new custodian 			✓	✓

If you have questions, need additional information, or need assistance locating a Representative, please contact our Customer Service Department at 1-800-544-5445. Customer Service Representatives are available to assist you, Monday through Friday between 8 a.m. and 8 p.m. ET.

**PRIMERICA SHAREHOLDER SERVICES
UGMA/UTMA VOLUNTARY REDEMPTION/TRANSFER DISCLOSURE
CHANGE OF CUSTODIAN FORM
(THIS PAGES RETAINED BY THE CUSTODIAN)**

Please Mail Completed Form to: Primerica Shareholder Services, PO Box 534485, Pittsburgh, PA 15253 - 4485, or for express mail Primerica Shareholder Services, Attention: 534485, 500 Ross Street, 154-0520 Pittsburgh, PA 15262.

You must provide your account number so that we may processed you request.

TRANSFER/REDEMPTION AMOUNT – Please indicate by checking the appropriate box how we are to process your request.

- **All Shares** – Transfer all shares in all fund positions to the New Custodian Registration – New Account Application required.
- **Redeem Shares** – Redeem all Shares in specific fund positions or provided the fund positions, number of shares or the dollar amount to redeem from each fund position.
- **Shares** – Redeem all shares in all fund positions.

MAIL CHECK – Please provide mailing instructions for your redemption check. We will process and mail your redemption check usually within two to three business days.

- **To new custodian registration**
- **To the following Payee and / or address** – To have the check sent to alternate payee and / or address. (Original Signature Guarantee Required)

EXPRESS MAIL – Please indicate if you wish to receive the check via express mail service. A daytime telephone number is required for all express mail packages. A signature will be required upon receipt of all express mail packages.

- By **one-day air express** and deduct the fee charge from my account – The check will be sent via next-day air express in accordance with the mailing instructions.

Note: The overnight express fee is generally \$20 for most deliveries in the United States, however, if you reside in a rural area in the U.S. the charge could be higher. If you reside in Alaska, Hawaii, Puerto Rico or request overnight express to a PO Box, the overnight fee will be higher and you could be charged as much as \$30.00. If you request overnight express to Guam, the fee charge could be as much as \$70 or more. **Your account will be charged accordingly.**

WIRE TRANSFER – A Wire Transfer should take place within 48 to 72 hours of processing your request for a redemption. Please complete the Wire Transfer – Bank Account Information and attach a voided check.

ACH TRANSFER – An Automated Clearing House (ACH) Transfer should take place within 72 to 96 hours of processing your request for a redemption.

- **ACH Transfer to the bank of record** – To have the redemption proceeds sent to the same account the bank draft option is being deducted from.

SIGNATURE – The new successor custodian must sign the request as their name appears in the account's registration. Please read all information contained in this section before signing the form. Please provide a daytime phone number where you can be reached should we need additional information to process your request.

- **SIGNATURE GUARANTEE** – An original signature guarantee may be obtained from an officer of most financial institutions. We are unable to accept a signature guarantee by a Notary Public. A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

ADDITIONAL FEES

- **CDSC FEE** – If your account is invested in Class B Shares, your redemption may be subject to a CDSC Fee (Contingent Deferred Sales Charge). Please refer to your prospectus to determine the applicable CDSC percentage applicable to your Fund.

**PRIMERICA SHAREHOLDER SERVICES - UGMA/UTMA VOLUNTARY REDEMPTION/TRANSFER
CHANGE OF CUSTODIAN FORM**

Account Number:

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 (Required to complete transaction)

I/We would like: (choose one)

<input type="checkbox"/>	Transfer all shares in all fund positions to new custodian registration listed on attached New Account Application																
<input type="checkbox"/>	<table style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 20%; text-align: left;">Fund Number/ NASDAQ SYMBOL</th><th style="width: 20%; text-align: center;"># of Shares</th><th style="width: 30%; text-align: center;">\$ Dollar Amount</th><th style="width: 30%; text-align: center;">Continue Draft</th></tr></thead><tbody><tr><td style="border: 1px solid black; height: 20px;"> </td><td style="border: 1px solid black; text-align: center;">#</td><td style="border: 1px solid black; text-align: center;">\$</td><td style="text-align: center;"><input type="checkbox"/></td></tr><tr><td style="border: 1px solid black; height: 20px;"> </td><td style="border: 1px solid black; text-align: center;">#</td><td style="border: 1px solid black; text-align: center;">\$</td><td style="text-align: center;"><input type="checkbox"/></td></tr><tr><td style="border: 1px solid black; height: 20px;"> </td><td style="border: 1px solid black; text-align: center;">#</td><td style="border: 1px solid black; text-align: center;">\$</td><td style="text-align: center;"><input type="checkbox"/></td></tr></tbody></table>	Fund Number/ NASDAQ SYMBOL	# of Shares	\$ Dollar Amount	Continue Draft		#	\$	<input type="checkbox"/>		#	\$	<input type="checkbox"/>		#	\$	<input type="checkbox"/>
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	#	\$	<input type="checkbox"/>														
	#	\$	<input type="checkbox"/>														
	#	\$	<input type="checkbox"/>														
<input type="checkbox"/>	Redeem All Shares in All Fund Positions <input type="checkbox"/>																

Please mail the check to: (choose one)

☐ The address of new registration ☐ To an alternate payee/address:
(Note: A signature guarantee is required to mail a check to an alternate payee or address)

Name(s):

Address:

City:

 State:

 Zip:

I/We would like to receive a check: (choose one)

☐ 1st Class Mail ☐ Next Day Air (Read note regarding fee on instruction page)

Daytime phone number required for Express Mail Service

I/We would like to wire transfer: (choose one) ☐ To the bank on attached form (attached a voided check)

I/We would like to ACH transfer: ☐ To the bank listed on the attached voided check

SIGNATURE:

**The signature(s) must correspond in every particular without alteration with the name(s) in the account's registration. By signing this form, I/We acknowledge that I/We have read and accept the terms outlined in the Voluntary Redemption Disclosure Form.*

Custodian Signature

Date

Daytime phone number where you can be reached should we need additional information: _____

SIGNATURE GUARANTEE STAMP: I/We guarantee the signature(s) of the applicant(s) and to the best of my knowledge and belief the investor is of full age and legally competent. A separate signature guarantee stamp must be affixed to this form for each signor.

Guarantor Name



Please Mail Completed Form to: Primerica Shareholder Services, PO Box 534485, Pittsburgh, PA 15253 - 4485, or for express mail Primerica Shareholder Services, Attention: 534485, 500 Ross Street, 154-0520 Pittsburgh, PA 15262.

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WIRE/ACH TRANSFER – BANK ACCOUNT INFORMATION

Bank Name _____ Account Name _____

Contact Person _____ Phone # _____

Routing # _____ Account # _____

(Note: A signature guarantee is required to wire transfer proceeds to your bank.)