

## UGMA / UTMA MINOR REACHED AGE OF MAJORITY REQUESTS REDEMPTION / TRANSFER FORM

To Whom It May Concern:

Thank you for your inquiry regarding information related to account authority for an account registered as an UGMA / UTMA.

In response to your request, we have included information regarding distribution of the account. Please refer to the chart below to determine the documents that will be required to process your requested transaction. The documents required to be submitted with your request are based on the type of signature guarantee you are able to obtain and provide to Primerica Shareholder Services and the type of transaction (redeem or transfer assets) you are requesting.

An original signature guarantee may be obtained from an officer of most financial institutions. A medallion signature guarantee is preferable. By obtaining a Medallion Signature Guarantee, you will not be required to furnish certain documents. If the signature guarantee is NOT medallion, you will be required to furnish additional documentation and provide the name and phone number of the person providing the signature guarantee for verification purposes. **Please note that a notary public cannot provide a signature guarantee.** A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

**NOTE: A signature guarantee is not required when the minor (now adult) is transferring to a new or existing account on the PSS Platform.**

| Documents Required   | To Redeem With<br>Medallion Signature<br>Guarantee | To Redeem With Non-Medallion<br>Signature Guarantee | To Transfer to PSS Account |
|--|--|---|----------------------------|
| Completed Distribution/Transfer Form<br>signed by minor (now adult)  | ✓  | ✓   | ✓                          |
| New Account Application SB-50 (must<br>be obtained and completed by a PFS<br>Representative and signed by the minor),<br>or if the transfer is to an existing account,<br>you must provide existing account number |  |   | ✓                          |
| Customer Identification Form (if new<br>account is being established)  | ✓  | ✓   | ✓                          |
| Share Certificates (if applicable)   |  |   | ✓                          |
| Certified Copy of minor's Birth Certificate  |  | ✓   |                            |

**NOTE:** If you are unable to obtain a Medallion Signature Guarantee, a Non-Medallion Guarantee and a birth certificate is required. If the account value is less than \$100,000, a photocopy of the minor's birth certificate is acceptable. If the account value is greater than \$100,000 the birth certificate must be an original registry certified copy, no photocopy will be accepted.

If you have questions or need additional information, please contact our Customer Service Department at 1-800-544-5445. Customer Service Representatives are available to assist you, Monday through Friday between 8 a.m. and 8 p.m. ET.

(This page retained by the Minor, now Adult)

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## UGMA / UTMA MINOR REACHED AGE OF MAJORITY REQUESTS REDEMPTION / TRANSFER FORM

### INSTRUCTIONS:

1. Complete the attached UGMA / UTMA Minor Reached Age of Majority Requests Redemption / Transfer Form
2. Sign the form
3. Mail the completed, signed form to:

Please send to: Regular Mail

Primerica Shareholder Services  
P.O. Box 534485  
Pittsburgh, PA 15253 – 4485

Client Services: (800) 544-5445

Overnight Mail

Primerica Shareholder Services  
Attention: 534485  
500 Ross Street, 154-0520  
Pittsburgh, PA 15262

You must provide the account number so that we may process your request.

### SECTION 1 - REDEEM OR TRANSFER ASSETS

Please indicate by checking the appropriate box whether you wish to redeem the assets in the account or if you wish to transfer the assets to an existing account or a new account (need new account application).

- **Transfer Assets to a New Account** - The registered minor may choose to transfer the assets to a new account with Primerica Shareholder Services. If you choose this option, you must contact a Primerica Financial Services Representative to complete a new account application and Customer Identification Form.
- **Transfer Assets to Existing Account** - The registered minor may choose to transfer the assets to an existing account with Primerica Shareholder Services. Please provide the existing account number and complete the From and To Account information and provide the transfer amount either Dollar Amount, Percentage of Account or all shares to be transferred to a certain fund position. If you need additional space, you may attach an additional sheet or make a copy of the Distribution Request Form.

**NOTE:** Investments will be moved “in kind” to your existing account, that is the same fund(s) currently held in the deceased owner’s account will be moved to your existing account. You should speak with your PFSI Agent for assistance in determining what if any changes should be made after the transfer to your name is complete.

### SECTION 2 - REDEMPTION PROCEEDS

Please provide instructions on how you wish to receive the distribution proceeds. If you would like the assets in a check, please provide the name(s) and mailing address.

- **MAIL CHECK** - PSS usually processes and mails redemption checks within two to three business days.
- **EXPRESS MAIL** - Please indicate if you wish to receive a check via express mail service. A daytime telephone number is required for all express mail packages. A signature is required upon receipt of all express mail packages.  
By **one-day air express** and deduct the fee charge from this account  
The check will be sent via next-day air express in accordance with the mailing instructions.

**NOTE:** The overnight express fee is generally \$20 for most deliveries in the United States, however, if you reside in a rural area in the U.S. the charge could be higher. If you reside in Alaska, Hawaii, Puerto Rico or request overnight express to a PO Box, the overnight fee will be higher and you could be charged as much as \$30.00. If you request overnight express to Guam, the fee charge could be as much as \$70 or more. **Your account will be charged accordingly.**

- **WIRE TRANSFER** - A wire transfer usually takes place within 48 to 72 hours of processing your request for a redemption of shares. Please complete the Wire Transfer Information and attach a voided check.  
**Wire Transfer to a Bank:** To have the redemption proceeds sent to your bank account. When processing a wire transfer to your bank, you must complete the bank name, bank contact person, bank telephone number, bank routing / transit number, bank account name and number, and attach a voided check.
- **ACH TRANSFER** - An Automated and Clearing House (ACH) transfer should place within 72 to 96 hours of processing your request for distribution of shares. If you would like for the assets to be wired or sent ACH to your bank, you must complete the Wire / ACH Transfer Information at the end of this form and attach a voided check.

(This page retained by the Minor, now Adult)

(Continue)

### SECTION 3 - SIGNATURE & SIGNATURE GUARANTEE

Please have the minor (now adult) sign the form. Please provide a daytime phone number where you can be reached should we need additional information to process your request.

An original signature guarantee may be obtained from an officer of most financial institutions. A medallion signature guarantee is preferable. If the signature guarantee is NOT medallion, please provide the name and phone number of the person providing the signature guarantee for verification purposes. Please note that a notary public cannot provide a signature guarantee. A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

**NOTE: ADDITIONAL FEES - CDSC FEE** - If the account is invested in Class B Shares, your redemption may be subject to a CDSC Fee (Contingent Deferred Sales Charge). Please refer to your prospectus to determine the applicable CDSC percentage applicable to your Fund.

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(This page retained by the Minor, now Adult)

UGMA / UTMA MINOR REACHED  
AGE OF MAJORITY REQUESTS  
REDEMPTION / TRANSFER FORM

**REQUIRED INFORMATION**

Please complete all information to avoid any delay in processing your request.

Account Number: \_\_\_\_\_

Account Owner's Name: \_\_\_\_\_

**1 REDEEM OR TRANSFER ASSETS**

Fund Number / NASDAQ Symbol (Please provide for fund positions held by in the account)

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Redeem all assets in all fund position listed above

Transfer all assets to a new account number: \_\_\_\_\_

**2 REDEMPTION PROCEEDS** (Please tell us how to send the redemption proceeds.)

Please mail the check to: (choose one)

Name(s): \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

**I / We would like to receive a check: (choose one)**

1st Class Mail

Next Day Air **(Read note regarding fee on instruction page)**

Daytime Phone Number: \_\_\_\_\_ (Required for Express Mail Service)

**OR (choose one)**

**I / We would like to wire transfer:** To the bank listed on the attached voided check

**I / We would like to ACH transfer:** To the bank listed on the attached voided check

(Continue)

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3

SIGNATURE

Signature (Minor, now Adult)

Date

Daytime phone number where you can be reached should we need additional information:

4

SIGNATURE GUARANTEE (Please read instructions)

Guarantor Name: \_\_\_\_\_

Guarantor Phone Number: \_\_\_\_\_

**Signature Guarantee Stamp:** I guarantee the signature(s) of the applicant(s) and to the best of my knowledge and belief the applicant is of full age and legally competent. A separate signature guarantee stamp must be affixed to this form for each signor. If this is a Medallion signature guarantee, I further affirm that I have checked and verified a certified copy of the applicant's birth certificate

Signature Guarantee Stamp

WIRE / ACH TRANSFER - BANK ACCOUNT INFORMATION

Bank Name: \_\_\_\_\_ Account Name: \_\_\_\_\_

Contact Person: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Routing Number: \_\_\_\_\_ Account Number: \_\_\_\_\_

Please check with your financial institution for any special wiring instructions required to process a wire transfer to your bank account. Some financial institutions clear transactions through other institutions. This will cause a problem in processing the wire if your bank does not accept direct wires, but uses a pay through institution.

**IMPORTANT INFORMATION:**

Financial Institutions providing medallion signature guarantees have surety bond coverage that protects the transfer agents from "bad" guarantees. Primerica Shareholder Services will not accept a request to process a transaction that exceeds the financial institutions bond coverage. Please verify with your financial institution their amount of bond coverage to determine if the institution's bond will cover your requested redemption/distribution amount.

Once completed, mail the form and required documents to the appropriate address listed for processing.

Please send to:

Regular Mail

Primerica Shareholder Services  
P.O. Box 534485  
Pittsburgh, PA 15253 – 4485

Client Services:

(800) 544-5445

Overnight Mail

Primerica Shareholder Services  
Attention: 534485  
500 Ross Street, 154-0520  
Pittsburgh, PA 15262