

PRIMERICA SHAREHOLDER SERVICES – CONSERVATOR/GUARDIAN REDEMPTION FORM

To Whom It May Concern:

Thank you for your inquiry regarding information related to a distribution of assets from an account with a Conservator or Guardianship registration.

In response to your request, we have included information regarding distribution of the account. Please refer to the chart below to determine the documents that will be required to process your requested transaction. The documents required to be submitted with your request are based on the type of signature guarantee you are able to obtain and provide to Primerica Shareholder Services.

An original signature guarantee may be obtained from an officer of most financial institutions. A medallion signature guarantee is preferable. By obtaining a Medallion Signature Guarantee, you will not be required to furnish certain documents. If the signature guarantee is NOT medallion, you will be required to furnish additional documentation and provide the name and phone number of the person providing the signature guarantee for verification purposes. **Please note that a notary public cannot provide a signature guarantee.** A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

A - Documents Required	B -To Redeem With Medallion Signature Guarantee	C – To Redeem With Non-Medallion Signature Guarantee
Completed Distribution/Transfer Form signed by Guardian/ Conservator and signature guaranteed	✓	✓
Share Certificates (if applicable)	✓	✓
Certified court order authorizing redemption request	✓	✓
Certified copy of Letter of Appointment of Guardian/Conservator		✓

Please note that if a certified copy of Letter of Appointment of Guardian/Conservator is required, it must be An Original court certified copy of this document (court seal or clerk of the court stamp will certify this document) and certification must be dated within 6 months of your request to distribute the assets in the deceased shareholder's account with Primerica Shareholder Services, to the Legal Representative (the legal document can be returned to you upon request). **A copy of the certification of the document (or if the certification is not original ink) is not acceptable.**

If you have questions, need additional information, or need assistance locating a Representative, please contact our Customer Service Department at 1-800-544-5445. Customer Service Representatives are available to assist you, Monday through Friday between 8 a.m. and 8 p.m. ET.

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(THIS PAGE RETAINED BY THE CONSERVATOR/GUARDIAN)

Please mail completed form and required documents to Primerica Shareholder Services, PO Box, 534485, Pittsburgh, PA 15253 – 4485, or for express mail Primerica Shareholder Services, Attention: 534485, 500 Ross Street, 154-0520 Pittsburgh, PA 15262.

Please provide the account owner's name and the account number so that we may process your request.

REDEMPTION AMOUNT – The redemption amount will be determined by the court ordered redemption.

- **All Shares/All Fund Positions** – To redeem all shares in a specified fund position with this account number, or you may choose all fund positions be redeemed. Unless otherwise specified, the account(s) will be closed and all options (i.e. Bank Draft, Systematic Withdrawal Plan) will be discontinued.
- **Dollars** – To redeem a specific dollar amount from a specified fund position with this account number, or you may choose all fund positions be redeemed. You must leave a minimum balance as outlined in your fund prospectus or have an active Bank Draft Option on your account should you wish the account(s) to remain open.
- **Shares** – To redeem a specific number of shares from a specified fund position with this account number, or you may choose all fund positions be redeemed. You must leave a minimum balance as outlined in your fund prospectus or have an active Bank Draft Option on your account(s) should you wish the account(s) to remain open.

MAIL CHECK – Please provide mailing instructions for the redemption check by checking the appropriate box. We will process and mail the redemption check usually within two to three business days.

- To have the check sent to the address and registration of record.
- To have the check sent to alternate payee and / or address.

EXPRESS MAIL – Please indicate if you wish to receive the check via express mail service. A daytime telephone number is required for all express mail packages. A signature will be required upon receipt of all express mail packages.

- By one-day air express and deduct the fee charge from this account – The check will be sent via next-day air express in accordance with the mailing instructions.

Note: The overnight express fee is generally \$14 for most deliveries in the United States, however, if you reside in a rural area in the U.S. the charge could be higher. If you reside in Alaska, Hawaii, Puerto Rico or request overnight express to a PO Box, the overnight fee will be higher and you could be charged as much as \$30.00. If you request overnight express to Guam, the fee charge could be as much as \$70 or more. **Your account will be charged accordingly.**

WIRE TRANSFER – A Wire Transfer should take place within 48 to 72 hours of processing your request for a redemption. Please complete the Wire Transfer – Bank Account Information and attach a voided check.

- Wire Transfer to the bank of record – To have the redemption proceeds wired to a specified bank account.
- Wire Transfer to a non-record bank – To have the redemption proceeds sent to a bank account not on file with Primerica Shareholder Services. When processing a Wire Transfer to a non-record bank, you must complete the bank name, bank contact person, bank telephone number, bank routing / transit number, bank account name and number and attach a voided check.

ACH TRANSFER – An Automated Clearing House (ACH) Transfer should take place within 72 to 96 hours of processing your request for a redemption.

- ACH Transfer to the bank of record – To have the redemption proceeds sent to the same account the bank draft option is being deducted from.
- ACH Transfer to a non-record bank – To have the redemption proceeds sent to a bank account not on file with Primerica Shareholder Services. When processing an ACH Transfer to a non-record bank, you must complete the bank name, bank routing / transit number, bank account name and number and attach a voided check.

SIGNATURE – All legally appointed conservators/guardians, must sign the request as their names appear in the Court Ordered Appointment of Conservator/Guardian document and any account owner not represented by Conservator/Guardian must sign also. Please provide a daytime phone number where you can be reached should we need additional information to process your request.

SIGNATURE GUARANTEE – An original signature guarantee may be obtained from an officer of most financial institutions. We are unable to accept a signature guarantee by a Notary Public. A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

ADDITIONAL FEES

- **CDSC FEE** – If your account is invested in Class B Shares, your redemption may be subject to a CDSC Fee (Contingent Deferred Sales Charge). Please refer to your prospectus to determine the applicable CDSC percentage applicable to your Fund.

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Account Owner's Name: _____

Account Number: (Required to complete transaction)

I/We would like to receive: (choose one)

	Fund Number/ NASDAQ Symbol	# of Shares	\$ Dollar Amount	Continue Draft
<input type="checkbox"/> All Shares in	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="checkbox"/>
<input type="checkbox"/> All shares in All Fund Positions				<input type="checkbox"/>

Please mail the check to: (choose one)

☐ The address of registration ☐ To an alternate payee/address:

Name(s):

Address:

City: **State:** **Zip:**

I/We would like to receive a check: (choose one)

☐ 1st Class Mail ☐ Next Day Air (Read note regarding fee on instruction page)

Daytime phone number required for Express Mail Service

I/We would like to wire transfer: (choose one)

☐ To the active bank of record at PSS ☐ To an alternate bank account

I/We would like to ACH transfer:

☐ To the active bank of record at PSS ☐ To an alternate bank account

Signature (Owner/Conservator/Guardian) Date Signature (Conservator/Guardian) Date

Daytime phone number where you can be reached should we need additional information: _____

SIGNATURE GUARANTEE STAMP: I guarantee the signature(s) of the applicant(s) and to the best of my knowledge and belief the applicant is of full age and legally competent. A separate signature guarantee stamp must be affixed to this form for each signor. If this is a Medallion signature guarantee, I further affirm that I have checked and verified a certified copy of the Letter of Appointment of Guardian/Conservator, certified within the past 6 months.

Guarantor Name and Phone Number

Guarantor Name and Phone Number

Signature Guarantee seal for Guardian #1

Signature Guarantee seal for Guardian #2

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WIRE TRANSFER – BANK ACCOUNT INFORMATION

Bank Name	Account Name
Contact Person	Phone #
Routing #	Account #

Please check with your financial institution for any special wiring instructions required to process a wire transfer to your bank account. Some financial institutions clear transactions through other institutions. This will cause a problem in processing the wire if your bank does not accept direct wires, but uses a pay through institution.

IMPORTANT INFORMATION- Financial Institutions providing medallion signature guarantees have surety bond coverage that protects the transfer agents from “bad” guarantees. Primerica Shareholder Services will not accept a request to process a transaction that exceeds the financial institution’s bond coverage. Please verify with your financial institution their amount of bond coverage to determine if the institution’s bond will cover your requested redemption/distribution amount.