

**PRIMERICA SHAREHOLDER SERVICES – COVERDELL ESA RESPONSIBLE PARTY DECEASED,  
MINOR (NOW AGE OF MAJORITY) REQUESTS REDEMPTION/TRANSFER FORM**

To Whom It May Concern:

Thank you for your inquiry regarding information related to a death distribution/transfer. Please accept our sincere condolences for your loss.

In response to your request, we have included information regarding distribution of the account. Please refer to the chart below to determine the documents that will be required to process your requested transaction. The documents required to be submitted with your request are based on the type of signature guarantee you are able to obtain and provide to Primerica Shareholder Services and the type of transaction (redeem or transfer assets) you are requesting.

An original signature guarantee may be obtained from an officer of most financial institutions. A medallion signature guarantee is preferable. By obtaining a Medallion Signature Guarantee, you will not be required to furnish certain documents. If the signature guarantee is NOT medallion, you will be required to furnish additional documentation and provide the name and phone number of the person providing the signature guarantee for verification purposes. **Please note that a notary public cannot provide a signature guarantee.** A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

A - Documents Required	B -To Redeem With Medallion Signature Guarantee	C – To Redeem With Non-Medallion Signature Guarantee	D –To Transfer to PSS account With Medallion Signature Guarantee	E – To Transfer to PSS account With Non-Medallion Signature Guarantee
Completed Distribution/Transfer Form signed by minor now adult signature guaranteed	✓	✓	✓	✓
New Account Application SB-52 indicating Coverdell ESA registration (must be obtained and completed by a PFS Representative), or if the transfer is to an existing account, you must provide existing account number			✓	✓
Customer Identification Form			✓	✓
Certified Copy of beneficial owner's birth certificate (minor now adult)		✓		✓
Certified copy of deceased responsible party's death certificate		✓		✓

Please note that if a certified copy of the beneficial owner's birth certificate is required, it must be an Original court certified copy of this document (court seal or clerk of the court stamp will certify this document) and certification must be dated within 6 months of your request.

If you have questions, need additional information, or need assistance locating a Representative, please contact our Customer Service Department at 1-800-544-5445. Customer Service Representatives are available to assist you, Monday through Friday between 8 a.m. and 8 p.m. ET.

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(THIS PAGE RETAINED BY THE NEW RESPONSIBLE PARTY)

**Please mail completed form and required documents to Primerica Shareholder Services, PO Box, 534485, Pittsburgh, PA 15253 – 4485, or for express mail Primerica Shareholder Services, Attention: 534485, 500 Ross Street, 154-0520 Pittsburgh, PA 15262.**

You may use the attached form to request a distribution of the Coverdell ESA account assets, or to transfer the assets to a new or existing account. You must provide all the documentation (described in the attached cover letter) requested in order for Primerica Shareholder Services to honor your request for a distribution or to transfer the assets. You must provide your account number so that we may process your request.

Please provide the account number so that we may process your request.

**SECTION 1** – Please indicate by checking the appropriate box whether you wish to redeem the assets in the account or if you wish to transfer the assets to new (need new account application) or existing account.

- **Transfer Assets to a New Account** – The Minor (now adult) may choose to transfer the assets to a new account with Primerica Shareholder Services. If you choose this option, you must contact a Primerica Financial Services Representative to complete a new account application and Customer Identification Form. Assets must be invested in a Coverdell ESA with minor (new adult) listed as responsible party.

**NOTE:** Investments will be moved “in kind” to your existing account, that is the same fund(s) currently held in the deceased owner’s account will be moved to your existing account. You should speak with your PFSI Agent for assistance in determining what if any changes should be made after the transfer to your name is complete.

**SECTION 2 – REDEMPTION PROCEEDS** – Please provide instructions on how you wish to receive the distribution proceeds. If you wish the assets in a check, please provide the name(s) and mailing address.

**MAIL CHECK** – PSS usually process and mail redemption checks within two to three business days.

**EXPRESS MAIL** – Please indicate if you wish to receive a check via express mail service. A daytime telephone number is required for all express mail packages. A signature is required upon receipt of all express mail packages.

- By **one-day air express** and deduct the fee charge from this account – The check will be sent via next-day air express in accordance with the mailing instructions.

**Note:** The overnight express fee is generally \$14 for most deliveries in the United States, however, if you reside in a rural area in the U.S. the charge could be higher. If you reside in Alaska, Hawaii, Puerto Rico or request overnight express to a PO Box, the overnight fee will be higher and you could be charged as much as \$30.00. If you request overnight express to Guam, the fee charge could be as much as \$70 or more. **Your account will be charged accordingly.**

**WIRE TRANSFER** – A wire Transfer should take place within 48 to 72 hours of processing your request for a redemption of shares. Please complete the Wire Transfer Information and attach a voided check.

- Wire Transfer to a bank – To have the redemption proceeds sent to your bank account. When processing a Wire Transfer to your bank, you must complete the bank name, bank contact person, bank telephone number, bank routing / transit number, bank account name and number, and attach a voided check.

**ACH TRANSFER** – An Automated and Clearing House (ACH) transfer should place within 72 to 96 hours of processing your request for distribution of shares. **Please complete the Wire / ACH Transfer Information and attach a voided check.**

**SECTION 3 - SIGNATURE** – The Minor (now adult) must sign the request in the space provided. Please read all information contained in this section before signing the form. Please provide a daytime phone number where you can be reached should we need additional information to process your request.

**SECTION 4 - SIGNATURE GUARANTEE** – An original signature guarantee may be obtained from an officer of most financial institutions. **A medallion signature guarantee is preferable.** If the signature guarantee is NOT medallion, please provide the name and phone number of the person providing the signature guarantee for verification purposes. **Please note that a notary public cannot provide a signature guarantee.** A signature guarantee is a warranty by the grantor that the signature is genuine, and that the person(s) signing is competent and authorized to sign.

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**Note: ADDITIONAL FEES -CDSC FEE** – *If the account is invested in Class B Shares, your redemption may be subject to a CDSC Fee (Contingent Deferred Sales Charge). Please refer to your fund's prospectus to determine the applicable CDSC percentage applicable to your Fund.*

**REDEMPTION/TRANSFER FORM**

**Account Number:** \_\_\_\_\_ **(Required to complete transaction)**

**Section 1:**

**Fund Number/NASDAQ Symbol** (Please provide for fund positions held by deceased)

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☐ Redeem all assets in all fund positions listed above

☐ Transfer all assets to a new account (application required)

**Section 2: Please tell us how to send the redemption proceeds:**

☐ By check to the following name and address:

**Name(s):**

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**Address:**

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**City:**

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**State:**

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**Zip:**

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**I/We would like to receive a check: (choose one)**

☐ 1<sup>st</sup> Class Mail

☐ Next Day Air (Read note regarding fee on instruction page)

**Daytime phone number required for Express Mail Service**

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I/We would like to ☐ Wire Transfer/or ☐ ACH Transfer assets **to the bank listed on the attached voided check**

**Section 3: Signature**

**Minor's (Now Adult) / Responsible Party's Signature**

**Date**

**Daytime phone number where you can be reached should we need additional information** \_\_\_\_\_

**Section 4: Signature Guarantee (Please read instructions)**

**Signature Guarantee**

**Signature Guarantee**

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**Guarantor Name** \_\_\_\_\_ **Guarantor Phone No.** \_\_\_\_\_

**SIGNATURE GUARANTEE STAMP:** I guarantee the signature(s) of the applicant(s) and to the best of my knowledge and belief the applicant is of full age and legally competent. A separate signature guarantee stamp must be affixed to this form for each signor. **If this is a Medallion signature guarantee, I further affirm that I have checked and verified a certified copy of the deceased's death certificate and a certified copy of the minor's birth certificate showing applicant is of legal age.**

**WIRE/ACH TRANSFER – BANK ACCOUNT INFORMATION**

Bank Name \_\_\_\_\_ Account Name \_\_\_\_\_

Contact Person \_\_\_\_\_ Phone # \_\_\_\_\_

Routing # \_\_\_\_\_ Account # \_\_\_\_\_

***(Note: A signature guarantee is required to wire transfer proceeds to a non-record bank.)***

**Please check with your financial institution for any special wiring instructions required to process a wire transfer to your bank account. Some financial institutions clear transactions through other institutions. This will cause a problem in processing the wire if your bank does not accept direct wires, but uses a pay through institution.**

***IMPORTANT INFORMATION-*** Financial Institutions providing medallion signature guarantees have surety bond coverage that protects the transfer agents from “bad” guarantees. Primerica Shareholder Services will not accept a request to process a transaction that exceeds the financial institution's bond coverage. Please verify with your financial institution their amount of bond coverage to determine if the institution's bond will cover your requested redemption/distribution amount.