

## How to sign up for Electronic Delivery

Primerica Shareholder Services currently offers electronic delivery of Quarterly Statements and Non-Tax related documents as well as Tax Forms.

You may sign up on our [Shareholder Account Manager](#) site, after you log in go to **'Account Profile'**, click on **'User Profile'**, then click on **'E-mail and Electronic Delivery'**, and then click on **'Edit'**. The **'Change User Profile'** window will open and you will need to enter your email address and confirm the email address then you may elect for electronic notification of Tax forms and statements.

The screenshot shows the Primerica Shareholder Account Manager interface. At the top left is the Primerica logo. Below it is a navigation menu with tabs: Account Summary, **Account Profile**, Trade, Fund Information, and Help. Under the Account Profile tab, there are sub-tabs: Account Record, Account Options, Bank Drafts/Payments, and **User Profile**. Below the User Profile tab, there are links: **E-mail and Electronic Delivery**, Change Password, Security Image, and Challenge Questions. The main content area is titled "E-mail and Electronic Delivery Options" and contains a "Current User Profile" section. This section has three main areas: "E-mail address :", "Tax Form delivery preference :", and "Statement and Non-Tax Related Document delivery preference :". Each of these areas has an "Edit" button to its right. The "E-mail address" section shows "(none on record)". The "Tax Form delivery preference" section shows "Standard Mail - tax forms will be delivered via standard mail". The "Statement and Non-Tax Related Document delivery preference" section shows "Standard Mail - paper statements will be delivered via standard mail". At the bottom left is the Primerica logo and the URL "shareholder.primerica.com". At the bottom right is the text "Your use of this site signifies that you accept our Terms and Conditions of Use."

## Change User Profile

### Current User Profile

Please make any changes desired and, when complete, press the Update button at the bottom of this page to process your request.

**E-mail address :**

We'll use your e-mail address to contact you any time an event merits your attention. For example, if you elect electronic delivery of statements (see below) we'll send you a notification when a new statement is available for viewing online.

Please confirm any e-mail address changes by re-entering below:

**Tax Form delivery preference :**

You may elect to have your tax forms delivered via standard mail or be notified via e-mail when they're available for online viewing.

**E-Notification of Tax Forms** - If you elect E-Notification for your Primerica Tax forms you are **required full enrollment in the electronic delivery for your account statements and any other account related documents related to your account.** We'll send you an e-mail notifying you when your tax forms, statements and any other account related documents are ready for viewing on-line.

**Standard Mail** - paper tax forms will be delivered via standard mail.

**Statement and Non-Tax Related Document Delivery preference :**

Primerica Shareholder Services currently provides electronic delivery for Quarterly Statements and Daily Confirms. In the future other important account related documents will be delivered electronically to you as they become available. You may elect to have your statements and confirms delivered via standard mail or be notified via e-mail when they're available for online viewing.

**Electronic Delivery** - if you elect electronic delivery for your Primerica statements and other non-tax related documents we'll send you an e-mail notifying you when new material is ready for viewing online.

**Standard Mail** - paper statements will be delivered via standard mail.

\*Please note that if your account is part of a consolidated statement then the primary registrant of the lead account in the household controls whether an electronic statement is generated or not. E-mail notifications will be sent to the primary registrant when the electronic statement is available for viewing but any legal registrant (excluding minors) may elect to view available account statements online.

In addition, the primary registrant or responsible party of each individual account must elect to be electronically notified when their respective tax forms are available online; tax form e-notifications are sent only to the primary registered owner of the account regardless of any statement householding in effect.

Enter and confirm your email address where you want notifications.

If wish to be notified of Tax forms electronically you must also elect statement e-delivery below

You may elect to have statements sent by E-delivery here.

Once all elections are made click to save

**'E-notification of Tax Forms'** (note this option requires that signing up for Electronic Delivery of statements and non-tax related documents)

When you click on the circle to elect electronic delivery you will be presented with the **'Electronic Delivery Agreement'**, you should review the disclosure, you may print a copy of the disclosure for you records.

Once you have read the disclosure, if you wish to continue the election you need to check the box next to **'Accept'** under the disclosure window to acknowledge that you have read and understood and consent.

disclosures might be sent to you with your account statements (for example, account

**ACCEPT** - I have read, understand and consent to the above disclosure regarding electronic notification of tax forms and materials. [\[Print a copy for my records\]](#)

If you are signing up for E-notification of Tax Forms you are required to also enroll in Electronic Delivery of Statements and Non-Tax related documents. If you are not enrolling in E-notification of Tax Forms you may still elect to receive Electronic Delivery of Statements and Non-tax related documents electronically and Tax forms by mail.

**Statement and Non-Tax Related Document Delivery preference :**

Primerica Shareholder Services currently provides electronic delivery for Quarterly Statements and Daily Confirms. In the future other important account related documents will be delivered electronically to you as they become available. You may elect to have your statements and confirms delivered via standard mail or be notified via e-mail when they're available for online viewing.

**Electronic Delivery** - if you elect electronic delivery for your Primerica statements and other non-tax related documents we'll send you an e-mail<sup>1</sup> notifying you when new material is ready for viewing online.

**Statement and Non-Tax Related Document Delivery preference :**

**Electronic Delivery Agreement**

PLEASE READ THIS AGREEMENT CAREFULLY BEFORE REGISTERING FOR THIS

ACCEPT - I have read, understand and consent to the above disclosure regarding electronic notification of investment account materials. [\[Print a copy for my records\]](#)

Once you have made your elections then click on **'Update User Profile'**

available account statements online.

In addition, the primary registrant or responsible party of each individual account must elect to be electronically notified when their respective tax forms are available online; tax form e-notifications are sent only to the primary registered owner of the account regardless of any statement householding in effect.

Reminder: To Elect E-Notification of Tax Forms requires enrolling in Electronic Delivery of Statements and Non-tax related notifications, if you click **'Update User Profile'** and have only elected E-Notification of Tax Form then the following notice will appear at the top of the page:

 If you wish to receive e-Notification for tax forms then you must also select the Electronic Delivery of Statements & Non-Tax Related Documents Preference.

If have failed to click **'Accept'** of the discloser(s) then the following error message appears at the top o the page:

 Please confirm that you've read and agreed to the Electronic Delivery Agreement by clicking the ACCEPT check box below. Please confirm that you've read and agreed to the Tax Form Electronic Delivery Agreement by clicking the ACCEPT check box below.

Once you have selected the options you wish to enroll in and have accepted the discloser(s). Then you need to **'Review and Confirm'** the changes you are making; until you click on **'Confirm Changes'** your elections are not saved and may be cancelled or changed until you confirm.

their respective tax forms are available online, tax form e-notifications are sent only to the primary registered owner of the account regardless of any statement householding in effect.

**Your requested changes are not saved until confirmed.**

Please review your changes and, if correct, press Confirm Changes to save your updates. Otherwise, press Change User Profile to make additional changes.

The User Profile will now show your elections, and we will send a welcome email to the email address you provided.

### User Profile

Account # [REDACTED]  
Type: Individual

PRIMERICA  
10000 W. 10th Street  
Denver, CO 80202  
800.665.1111

Your user profile has been updated as requested.

A welcome email has been sent to your email address of record. Please look for it and future important notifications from Primerica. Thank you for going green!

Once you have enrolled in Electronic Delivery of Statements:

Return to 'Account Summary' and choose "Statements" then 'Quarterly Statements' to view any year end statement from the year 2005 and after. From this point forward, any future quarterly statements will be added and available in full color. Quarterly statements are not kept on file unless you are signed up for E-Delivery at the time they are sent. In the future you will receive an email to alert you when any new quarterly statements are available.

PRIMERICA

Privacy | Important Disclosures | Sign Off | Last Sign On:

Account Summary | Account Profile | Trade | Agent Tools | Fund Information | Help

Account Search | Account List | Overview | Position Detail | Statements | History | Activity Summary | Allocations

Quarterly Statements | Tax Forms | Transcript | Account Performance | Average Cost

### Online Statements

*i* For year end statements prior to 2005, please contact a PFSI Service Representative for further assistance at (800) 665-8677.

Year	Type	Action
2016	Year End Statement	VIEW

Customer Service  
Need Help? Call (800) 544-5445  
Monday through Friday 8 AM - 8 PM ET

If you have also enrolled in E-Notification of Tax Forms then from the 'Statements' page choose 'Tax Forms'. You may view any tax forms sent out in the past 5 years, and future tax forms will be added as they are sent. In the future you will receive an email alert when new tax forms are available.

PRIMERICA

Privacy | Important Disclosures | Sign Off | Last Sign On:

Account Summary | Account Profile | Trade | Agent Tools | Fund Information | Help

Account Search | Account List | Overview | Position Detail | Statements | History | Activity Summary | Allocations

Quarterly Statements | Tax Forms | Transcript | Account Performance | Average Cost

### Tax Forms

Account Tax Forms

The following tax forms are available in the selected account. Please note that Primerica Shareholder Services (PSS) is committed to protecting your confidential data. Your online tax forms have been enhanced to display only the last four digits of your tax identification number and account number(s).

Year	Form	Description	Action	Instructions
2016	1099DIV	Dividends and Distributions	VIEW PRINT	1099DIV
2014	1099DIV	Dividends and Distributions	VIEW PRINT	1099DIV

Customer Service  
Need Help? Call (800) 544-5445  
Monday through Friday 8 AM - 8 PM ET

Account Owner | My Profile

Account #: [REDACTED]  
Registration: [REDACTED] [Edit](#)

We hope this has been helpful; we look forward to servicing your needs in the future!

## How to view your statements on Shareholder Account Manager (SAM)

If you are a shareholder who has signed up for Electronic Delivery of Statements and non-tax related documents, you are able to view and print year-end statements generated since 2005 on your account on our website 24 hours a day, 7 days a week. In addition, any quarterly statements (in color) produced since you signed up for Electronic Delivery will be available online as well. For year-end statements prior to 2005, please contact a PFS Investments Inc. ("PFSI") Service Representative at (800) 544-5445. We are open Monday through Friday 8 am – 8 pm.

If you need to sign up for Electronic Delivery of Statements see [How to Sign Up for E-Delivery](#).

Log in to [Shareholder Account Manager \(SAM\)](#) from the 'Account Summary' screen click on 'Statements'. All available statements (back to 2005) will be listed on this screen.

The screenshot shows the PRIMERICA Shareholder Account Manager (SAM) interface. At the top is the PRIMERICA logo. Below it is a navigation bar with tabs: Account Summary (selected), Account Profile, Trade, Agent Tools, and Fund Information. Underneath are sub-tabs: Account Search, Account List, Overview, Position Detail, Statements (selected), History, and Actions. A secondary navigation bar includes: Quarterly Statements (selected), Tax Forms, Transcript, Account Performance, and Average Cost. The main heading is 'Online Statements'. Below this is an information icon and text: 'For year end statements prior to 2005, please contact a PFSI Service Representative for further assistance'. A table titled 'Quarterly Account Statements' is displayed with the following data:

Year	Type	Action
2016	Year End Statement	VIEW
2015	Year End Statement	VIEW
2014	Year End Statement	VIEW
2013	Year End Statement	VIEW

Click on 'View' to bring up a new window with a .pdf file\* showing your statement.

\*Adobe™ Acrobat Reader is required to open these files. Please visit [www.adobe.com](http://www.adobe.com) if not already installed.



## Year-To-Date Statement

January 01 through December 31, 2016  
Page 1 of 5

WINDSOR  
WINDSOR  
PFS INVESTMENTS CLIENT FOR THE MONTH OF  
ASHLEY L. COLSON  
1825 CRYSTAL MOUNT ZION RD  
CITY RIDGE, NY 11931

PFS Investments Inc. - Dealer  
Windsor Murphy  
800-425-1204



If you need to print a copy of your statement, select the printer icon on the file or right-click on the screen and select print from the drop down menu that appears.

The screenshot shows a financial statement interface for a "Non-Retirement Account". The main content area is titled "Non-Retirement Summary" and includes sections for "Activity Summary" and "Asset Allocation". The "Activity Summary" section shows a table with columns for "Beginning Value as of 04/01/17", "Purchases\*", "Withdrawals\*", "Fees", "Dividends and Capital Gains Reinvest", and "Investment Results". The "Ending Value as of 06/30/17" is also displayed. A red arrow points to a toolbar at the bottom of the activity summary, where the printer icon is highlighted with a red box. To the right, a context menu is open, listing various actions such as "Back", "Forward", "Reload", "Save as...", "Print...", "Cast...", "Translate to English", "Rotate clockwise", "Rotate counterclockwise", and "Inspect". The "Print..." option is highlighted with a red box.

**Value Summary** **Non-Retirement Account** **Quarterly Statement**  
April 1, 2017 - June 30, 2017  
Account Number: 1111111111

**Non-Retirement Summary**

**Activity Summary**  
Beginning Value as of 04/01/17  
Purchases\*  
Withdrawals\*  
Fees  
Dividends and Capital Gains Reinvest  
Investment Results  
Ending Value as of 06/30/17  
\* Includes Transfers

**Asset Allocation**  
Your portfolio is 100% invested in Bond/Income.

**Value History**

Thank you for going Paperless!

Back Alt+Left Arrow  
Forward Alt+Right Arrow  
Reload Ctrl+R  
Save as... Ctrl+S  
Print... Ctrl+P  
Cast...  
Translate to English  
Rotate clockwise Ctrl+]  
Rotate counterclockwise Ctrl+[  
Inspect Ctrl+Shift+I

hope you like c  
ou have any qu

on-Retire

This graph compares the market value of your

You also have the option of saving a copy of the statement to your computer or device by selecting the "Save" icon

This screenshot is identical to the one above, showing the same financial statement interface. However, in this version, the "Save" icon (represented by a floppy disk) in the toolbar is highlighted with a red box, instead of the printer icon. The context menu is not visible in this version.

**Value Summary** **Non-Retirement Account**

**Non-Retirement Summary**

**Activity Summary**  
Beginning Value as of 04/01/17  
Purchases\*  
Withdrawals\*  
Fees  
Dividends and Capital Gains Reinvest  
Investment Results  
Ending Value as of 06/30/17  
\* Includes Transfers

**Asset Allocation**  
Your portfolio is 100% invested in Bond/Income.

**Value History**

This graph compares the market value of your

## How to view your tax forms on Shareholder Account Manager (SAM)

You are able to view and print any tax form generated in the past 7 years on your account on our website 24 hours a day, 7 days a week.

Log in to Shareholder Account Manager (SAM) and go to 'Account Summary' and select 'Statements' then choose 'Tax Forms'

The screenshot shows the Primerica SAM interface. The 'Account Summary' tab is selected, and the 'Tax Forms' sub-tab is active. A table lists available tax forms:

Year	Form	Description	Action	Instructions
2016	5498R	IRA Contribution Information	VIEW PRINT	5498R
2016	1099R	Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, IRAs,	VIEW	1099R

All available tax forms (up to 7 years) will be available. As tax forms are generated, the New Year's tax form will post within 2 weeks of the form being generated and the oldest years tax form will no longer be available.

You may 'View' or 'Print' a tax form by clicking on the appropriate link, both options will bring up a new window with a .pdf file\* showing your tax form. \*Adobe™ Acrobat Reader is required to open these files. Please visit [www.adobe.com](http://www.adobe.com) if not already installed.

To print, hover your mouse over the form and a menu will appear at the bottom of the window, select the printer icon on the file. You may also save a copy of the tax form to your computer by clicking on the save icon.

The screenshot shows a web browser window displaying a tax form (1099-R) from Primerica. The form is titled 'PRIMERICA SHAREHOLDER SERVICES' and includes the following information:

- OMB NO. 1545-0119 Page 1 of 1
- PRIMERICA SHAREHOLDER SERVICES
- PO BOX 9662
- PROVIDENCE RI 02940-9662
- For additional information, please call: 1.800.514.5445
- Form 1099-R
- RECIPIENT'S IDENTIFICATION NUMBER
- RECIPIENT'S IDENTIFICATION NUMBER
- RECIPIENT'S IDENTIFICATION NUMBER

A PDF viewer overlay is visible at the bottom of the page, showing the document's page number (1 / 3) and two buttons: 'Save a copy (Shift+Ctrl+S)' and 'Print file (Ctrl+P)'.

We also offer the convenience of E-Notification for your tax forms. Those signed up for E-notification of tax forms do not receive a paper copy, instead they receive an e-mail notification when tax forms are available, and tax form will be available immediately without delay. E-Notification allows you to access your tax forms sooner and helps the environment. If you would like to sign up for E-Notification see [How to sign up for Electronic Delivery](#)

## How to request a trade on Shareholder Account Manager (SAM)

Your account may be established to allow trades on our website 24 hours a day, 7 days a week. All trades requested are processed at the next close of the New York Stock Exchange (NYSE) after your trade is placed. Generally, if you place a trade prior to 4:00 pm ET on a business day, your trade will be priced at the next calculated Net Asset Value (NAV). Trades received after market close will be priced the following business day. (Note that on certain days, such as the day after Thanksgiving, the market closes earlier than 4:00 pm ET. The deadline for a trade is the time the market closes that business day).

To place a trade on your account once you have logged into SAM go to **'Trade'**.

The screenshot shows the PRIMERICA SAM interface. At the top, there is a navigation bar with tabs for 'Account Summary', 'Account Profile', 'Trade', 'Fund Information', and 'Help'. The 'Trade' tab is currently selected. Below this, there are sub-tabs: 'Account List', 'Overview', 'Position Detail', 'Statements', 'History', 'Activity Summary', and 'Allocations'. The main content area is titled 'Account Overview' and includes sections for 'Account Overview by Asset' and 'Customer Service'.

The **'Trade'** screen will default to the option to **'Buy'** if wish to add additional shares. You may select the trade you wish to place from the options available on your account. Example, the account below doesn't have a bank account on file and so cannot place a **'Buy'**:

This screenshot shows the 'Trade' screen in the PRIMERICA SAM interface. The 'Trade' tab is selected, and the sub-tab 'Buy' is highlighted. A yellow warning box with a triangle icon states: 'Purchases are currently unavailable on this account due to no linked direct debit bank account. A direct debit bank account is necessary to provide funds for purchase.' Below the warning, there is a button labeled 'Select Current Fund or Open New Fund for Initial Purchase'. To the right, there is a 'Customer Service' section with contact information: 'Need Help? Call (800) 544-5445 Monday through Friday 8 AM - 8 PM ET'. At the bottom right, there are buttons for 'Account Owner' and 'My Profile'.

The Options that may be available are 'Buy', 'Sell' and 'Exchange'. Follow the link for the type of trade you wish to place.

<a href="#">Buy</a>	Request to transfer funds from your bank account on file with PSS to your PSS account and buy additional shares.
<a href="#">Sell</a>	Request to sell shares of an Investment in your PSS account and send the proceeds to a pay access established on your account. The address of record is the default option for redemption proceeds. If you have previously provided instructions to have a bank account on file or establish a third party payee, you may choose to send the redemption proceeds to any existing pay access on file.
<a href="#">Exchange</a>	Request to sell shares of one mutual fund in your PSS account to purchase shares of another fund in the same fund family and share class.

If you need to add or update the bank of file, please complete the [Provide Bank Information form](#) and mail or fax it per the instructions on form.

## Steps to Buy additional shares in your PSS Account

1. **'Purchase Shares of'** allows you to select the fund you wish to buy:  
You may buy shares of an existing fund or choose **'OPEN NEW FUND'** to add a new investment to your account. Prior to buying a new fund you should review the prospectus and discuss how the fund fits with your investment objectives with your PFS Investments Inc. ("PFSI") Agent. See below for additional steps to select a new fund.
2. **'Apply Contribution to'** for Traditional and Roth IRA Contributions only: The default for all contributions is **'Current Tax Year'**; however, from January 1<sup>st</sup> to the tax filing deadline for the previous year, generally April 15<sup>th</sup>, you may choose to make a contribution toward the **'Prior Tax Year'**. See the example below for a Roth IRA contribution. For any other account type this option will not be displayed as it doesn't apply.
3. **'Dollar Amount'** Enter the dollar amount of the purchase
4. **'Draw proceeds from ACH account:'** - Review the bank account being used to fund the purchase, if you have multiple bank accounts on file, please make sure the bank account selected is the one you wish to use.
  - a. If you need to add or update the bank of file please complete the [Provide Bank Information form](#) and mail or fax it per the instructions on form.
5. Click **'Accept'** to proceed or **'Reset'** to make changes

PRIMERICA

Privacy | Important Disclosures | Sign Off

Last Sign On: Tuesday, October 03, 2017 at 4:47:22 PM  
Email: Edit

Account Summary | Account Profile | Trade | Fund Information | Help

Buy | Sell | Exchange | Automatic Buys | Automatic Sells

### Online Purchase

Select Current Fund or Open New Fund for Initial Purchase

Purchase shares of:  
ClearBridge Large Cap Growth Class A (SBLGX)

Dollar amount:  
\$ 1000.00

Apply Purchase to:  
Current Tax Year - 2017

Draw proceeds from ACH account:  
ACH XFER WASHINGTON MUTUAL BANK, PA Checking@0000

Accept | Reset

Trades entered today will show on pending list of Position History. Purchases entered prior to Market Close today will receive the current business day's closing price. ACH purchases are held in escrow and are not typically available for exchange or redemption for 6 business days. Should the ACH need to be canceled before it has been processed (generally within two business days of having placed a trade), please call the Client Service Representatives at (800) 544-5445.

Customer Service  
Need Help? Call (800) 544-5445  
Monday through Friday 8 AM - 8 PM ET

Account Owner | My Profile

Account #: XXXXX124  
Registration:  
PFS INVESTMENTS CUST FOR THE ROTH IRA OF  
[Redacted]

Phone: [Redacted] (W) Edit  
Representative: WAYNE M DEAN (854) 433-5743 Edit

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shareholder.primera.com

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Terms And Conditions  
Copyright © 2000-2017 Primera

If **OPEN NEW FUND** is selected when choosing a fund then after clicking on **"Accept"** you must first **"Select New Fund Family"** click **"Next"**.

### Select New Fund Family

Please select the fund family of the fund you'd like to

Select Desired Fund Family:

(Select Fund Family)  
(Select Fund Family)  
American Century  
Franklin Templeton  
Invesco Fund Family  
Legg Mason Partners Fund Family  
Pioneer Fund Family

### Select New Fund Family

Please select the fund family of the fund you'd like to

Select Desired Fund Family:

(Select Fund Family)  
(Select Fund Family)  
American Century  
Franklin Templeton  
Invesco Fund Family  
Legg Mason Partners Fund Family  
Pioneer Fund Family

Next >

All funds and share classes are offered by prospectus fees, distribution charges, and other expenses and s

Then a new fund from the list of available funds under the 'Purchase shares of' drop down menu. Then select an option for any Dividend Income or Capital Gains generated by the new fund. Generally they are reinvested in to additional shares but on non-retirement accounts you may elect to have them paid out and sent to you.

### New Fund and Income Options

Purchase shares of:  
 ClearBridge Aggressive Growth Class A (SHRAX)

Dividend Income will be:  
 (Select Income Option)  
 (Select Income Option)  
 Reinvested  
 Distributed via Check to Address of Shareowner  
 Direct Deposited to Pacific Marine Credit Union Checking#XXXXXXXXXX

< Back      Next >

6. 'Confirmation Share Purchase'; screen will come up. Please review and confirm the trade is what you wish to do. If the fund, dollar amount or the bank account is not correct, just click 'Cancel' to void the purchase and start over.
7. Once you have confirmed the trade is correct, click on 'Accept' to submit the trade. Until you accept the trade, it is not placed and may be canceled.

### Confirm Share Purchase

Final Trade Review before Placement

Please review your trade request and confirm by pressing the Accept button or Cancel to continue your session without entering an online purchase. Once confirmed, please do not attempt to refresh your screen until you receive your confirmation or you risk entering your trade twice.

Share Purchase Confirmation Details

Position:	SBLGX - ClearBridge Large Cap Growth Class A
Purchase Amount:	\$1,000.00
Contribution Year:	Current Year Contribution
To be funded via:	ACH XFER WASHINGTON MUTUAL BANK, FA Checking#XXXXX

Accept      Cancel

Purchases entered prior to Market Close today, will receive the current business day's closing price. ACH purchases are held in escrow and are not typically available for exchange or redemption for 6 business days. Should the ACH need to be canceled before it has been processed (generally within two business days of having placed a trade), please call the Client Service Representatives at (800) 544-5445.

PRIMERICA  
shareholder.primera.com

Your use of this site signifies that you accept our Terms and Conditions of Use.

8. Confirmation of the trade will now display. Please write down or print out the confirmation information for you records.

That's it you're done!

If you wish to purchases shares of another fund just click on Buy on trade menu and repeat the steps above for each additional purchase.

Account Summary    Account Profile    **Trade**    Fund Information    Help

Buy    Sell    Exchange    Automatic Buys    Automatic Sells

### Online Purchase Entered

✓ Your online purchase request has been accepted with a confirmation ID of 1006-0001-00002.

Should you have any questions, or need to cancel an ACH before it has been processed (generally within two business days of having placed a trade), please call one of our Client Service Representatives at (800) 544-5445.

### Online Purchase Request

Confirmation ID:	1006-0001-00002
Registration:	PFS INVESTMENTS CUST FOR THE ROTH IRA OF
Account Number:	XXXXX
Fund:	ClearBridge Large Cap Growth Class A
Amount:	\$1,000.00
Get proceeds from:	ACH XFER WASHINGTON MUTUAL BANK, FA Checking#XXXXX
Was this trade solicited:	No, trade was requested by shareholder.

Please print this Page or copy down the following information to provide a record of your transaction. The information will be helpful if you need to speak to one of our Client Service Representatives.

## Steps to Sell shares from your PSS account:

1. **'Sell Shares from':** Select the fund you wish to redeem out of from the drop down list.

2. **'Send proceeds to':** Choose from the available pay access options the delivery method you wish you to use.

This example shows an account set up for:

- A check payable to the owner(s) of the account by either US Mail or Next Day Express.
- A deposit to the bank account the shareholder has previously provided by either ACH or Wire Transfer.

Details about the delivery options are displayed in the table under the trade screen. This provides time frames for each method; the only option that PSS imposes a fee is for Next Day Express (\$12); however, your bank may impose a fee for accepting a Wire Transfer.

If you select the Next Day delivery see additional details about delivery date, because your investment is not sold until the next market close after your request and then sent for **'Next day delivery'** the following business day. You will receive your check in 2-3 business days depending on the day and time your trade is placed.

### Send proceeds to: (Please see delivery options table below)

#### Delivery Options:

You have several options for receiving proceeds from your account. The following table represents the options available, the estimated delivery timeframe, and applicable fee. Please allow 24 to 48 hours for processing.

Delivery Method	Delivery Timeframe	Fee
First Class Mail	A redemption check should arrive within 5 to 7 business days after processing.	None
Next Day Express Mail <sup>(1)</sup>	A redemption check should arrive the next delivery day after processing.	\$12.00
ACH Transfer	ACH redemptions should arrive within 72 to 96 hours after processing.	None
Wire Transfer	Wire redemptions should arrive within 72 hours after processing. Although Primerica Shareholder Services does not charge for this service, your bank may deduct an incoming wire fee from the proceeds upon receipt.	None

<sup>(1)</sup> UPS does not require a signature for delivery of the package. Please note that UPS does not include Saturday Delivery.

Check request via UPS Next Day Express Mail Delivery Estimates			
Request received BEFORE market close on...	Check should be delivered on...(1)	Request received AFTER market close...	Check should be delivered on...(1)
Monday	Wednesday	Monday	Thursday
Tuesday	Thursday	Tuesday	Friday
Wednesday	Friday	Wednesday	Monday
Thursday	Monday	Thursday	Tuesday
Friday	Tuesday	Friday	Wednesday

The tables below are provided as a guideline only and include check processing time. Additional days will be required for any requests that span a holiday. Primerica Shareholder Services cannot guarantee delivery date of checks.

3. **'Sell'** and **'Amount'** allow you choose how much to redeem by selecting to redeem a specific dollar amount, a specific the share amount or to redeem **'All'** shares in the fund selected. The default is to enter a specific the amount in dollars.

The available dollar value in each fund is listed next to the fund in the drop down menu above where you select the fund to redeem. Keep in mind for funds other than money market funds the price per share can vary daily. The available amount displayed is as of the close of the previous business day and your redemption will occur at the next business close after it is placed. The value of the available shares when your redemption is processed may be higher or lower than the amount displayed.

Once you have entered the trade amount click **'Next'** to submit the trade or **'Reset'** if you wish to make changes.

**Sell:**

All  
 Shares  
 Dollars

**Amount:**  (Shares or Dollars)

4. **'Confirm Redemption'**: Your trade is not complete until confirmed, review the trade summary and **'Estimated Redemption Details'**.

**Fees and Taxes (if any):** You may choose to take any fees or tax withholding that may apply, such as the \$12 overnight fee, from either the remaining balance in your account or from the redemption proceeds (except full redemptions).

**Trade will be added to existing pending activity:** Any purchases, redemptions or exchanges that are in progress already will appear here.

**Accept / Cancel:** Once you have reviewed you must either click "Accept" to process the redemption, or if you do not wish to process the redemption or anything needs to be changed, click on "Cancel" your trade will not be submitted.

### Confirm Redemption

 Your trade request is not complete until confirmed.

Please review your trade request and confirm by pressing the Accept button below or Cancel to abort your request. Once confirmed, please do not attempt to refresh your screen until you receive your confirmation or you risk entering your trade twice.

#### Final Redemption Review and Confirmation

Redemption Confirmation Details	
Position:	ClearBridge Large Cap Growth Class A (SBLGX)
Amount:	All shares
Send proceeds to:	Check to address of shareowner via First Class Mail
Withhold Federal Taxes:	0%
Withhold State Taxes:	0% (note that for states with mandatory withholding the actual amount withheld may be greater than the percentage requested)
Distribution Reason:	Premature Distribution - The distribution will be designated as a premature withdrawal for an individual under the age of 59 1/2. A Premature Distribution Penalty, which is separate from Federal Income tax may apply and is due when the tax return is filed. Tax and Penalty consequences may be avoided by reinvesting the full distribution within 60 days of receipt.
Fees and Taxes (if any):	Taken from redemption amount requested.
Estimated Redemption Details	
The following hypothetical trade results are provided only as an estimate of your requested trade and should be considered informational only. The actual trade results will vary based on a variety of factors including the final closing price, any related activity in this account, etc...	
Estimated Amount to be Redeemed:	\$248.93
Estimated Total Fees:	\$0.00
Estimated Net Check Amount:	\$248.93
Last Net Asset Value (NAV):	\$40.17
Estimated Shares to be Redeemed:	-6.197
Trade will be added to pending activity:	10/09/2017 Current Year Contribution - ACH \$1,000.00
<input type="button" value="Accept"/> <input type="button" value="Cancel"/>	
Trades entered prior to Market Close will be priced at the next calculated Net Asset Value. Trades received after Market Close will be priced the following business day.	

5. **'Online Redemption Entered'** Confirmation of the trade will now display. Please write down or print out the confirmation information for you records.

\*If you redeem all shares from a fund with an active Pre-Authorized Check Plan (PAC), a notice will appear with a link if you wish to stop future purchases. We will continue your future investments unless you request not to.

That's it you're done!

If you wish to redeem shares of another fund just click on **'Sell'** from the Trade menu:

Repeat the steps above for each additional fund from which you need to redeem.

The screenshot shows a web interface with a navigation bar at the top containing 'Account Summary', 'Account Profile', 'Trade', 'Fund Information', and 'Help'. Below the navigation bar are sub-menus for 'Buy', 'Sell', 'Exchange', 'Automatic Buys', and 'Automatic Sells'. The main content area is titled 'Online Redemption Entered' and features a green checkmark icon. The text reads: 'Your online redemption request has been accepted with a confirmation ID of 1006-0001-00003. Should you have any questions, or need to cancel an ACH before it has been processed (generally within two business days of having placed a trade), please call one of our Client Service Representatives at (800) 544-5445.' Below this is a section titled 'Online Redemption Request' with the following details: Confirmation ID: 1006-0001-00003; Registration: [redacted] ROUND ROCK, TX 78665-1155; Account Number: xxxxxx; Fund: Western Asset Government Reserves A2; Amount: \$100.00; Send proceeds to: Check to address of shareowner via First Class Mail; Fees and Withholding: Added to redemption amount, as applicable. At the bottom, there is a note: 'Please print this Page or copy down the following information to provide a record of your transaction. The information will be helpful if you need to speak to one of our Client Service Representatives.'

\*Active Pre-authorized Check Plan (PAC) notice on full redemption:



You have one or more Pre-authorized Check Plan(s) defined for the fund you just liquidated. If you would like to stop this plan going forward please [click here](#) to update.

## Steps to Exchange between funds in your PSS account:

An exchange consists of two trades processed at the same time;

- A redemption where shares of one fund are sold
- The proceeds of that redemption are used for the second trade, a purchase into a different fund in the same fund family and share class.

If you need assistance deciding which fund or funds to buy and sell you should speak with your PFSI agent who can help you make suitable investment decisions.

1. Once you have decided which funds to redeem/purchase:

**‘Exchange shares from’:** The drop down menu will list all funds in your account and the available dollar amount in the funds. Keep in mind for funds other than money market funds the price per share can vary daily. The available amount displayed is as of the close of the previous business day and your redemption will occur at the next business close after it is placed. The value of the available shares when your redemption is processed may be higher or lower than the amount displayed.

**‘...to purchase shares of’:** Select the fund you wish to make the purchase into. The drop down menu will include all funds that you currently hold shares in, any zero balance funds you previously owned shares in and the option to ‘Open New Fund’ **See additional steps for adding a new fund after indicating the amount to exchange below.**

### Online Exchange

2. **Amount:** Enter how much you wish to exchange. The default is to enter a dollar amount. You may also indicate a specific number of shares or ALL shares for a full exchange.

Once you have entered the trade amount, click **“Next”** to submit the trade or **“Reset”** if you wish to make changes.

If **'OPEN NEW FUND'** is selected, then after clicking on **'Next'** you must choose the new fund from the list of available funds under the **'Purchase shares of'** drop down menu and select an option for any Dividend Income or Capital Gains generated by the new fund. Generally they are reinvested in to additional shares but on non-retirement accounts you may elect to have them paid out and sent to you. Then click **'Next'** to confirm the trade.

### New Fund and Income Options

Purchase shares of:  
 ClearBridge Aggressive Growth Class A (SHRAX) ▼

Dividend Income will be:  
 (Select Income Option) ▼  
 (Select Income Option) ▼  
 Reinvested  
 Distributed via Check to Address of Shareowner  
 Direct Deposited to Pacific Marine Credit Union Checking#XXXXXXXXXX3295

< Back      Next >

3. **'Confirm Exchange'**; your trade is not complete until accepted, look over the request as shown under Review and Confirm Fund Request: Once you have reviewed you must either click **'Accept'** to process the exchange, or if you do not wish to process the exchange or anything needs to be changed, click on **'Cancel'** your trade will not be submitted.

### Confirm Exchange

 Please review your trade request and confirm by pressing the Accept button or Cancel to continue your session without entering an online exchange. Once confirmed, please do not attempt to refresh your screen until you receive your confirmation or you risk entering your trade twice.

#### Review and Confirm Exchange Fund Request

<b>From Position:</b>	Western Asset Government Reserves A2 ((SMTXX)
<b>To Position:</b>	ClearBridge Aggressive Growth Class A - SHRAX
<b>Exchange Amount:</b>	\$500.00
<b>Trade will be added to pending activity:</b>	10/10/2017 Partial Redemption (\$100.00) 10/10/2017 Purchase by ACH \$500.00

Accept      Cancel

Trades entered prior to Market Close will be priced at the next calculated Net Asset Value. Trades received after Market Close will be priced the following business day.

4. **'Online Exchange Entered'** Confirmation of the trade will now display. Please write down or print out the confirmation information for your records.

\*If you exchange all shares out of a fund that has an active Pre-Authorized Check Plan (PAC), a notice will appear with a link to stop future purchases. We will continue future investments unless you click the link and stop the PAC.

That's it you're done!

If you wish to request another exchange just click on **'Exchange'** on the Trade menu:

Repeat the steps above for each additional exchange between funds you want to do.

Account Summary    Account Profile    **Trade**    Fund Information    Help

Buy    Sell    Exchange    Automatic Buys    Automatic Sells

### Online Exchange Entered

 Your online exchange request has been accepted with a confirmation ID of 1006-0001-00006.

Should you have any questions, or need to cancel an ACH before it has been processed (generally within two business days of having placed a trade), please call one of our Client Service Representatives at (800) 544-5445.

#### Online Exchange Request

Confirmation ID:	1006-0001-00006
Registration:	ROUND ROCK, TX 78665-1155
Account Number:	xxxxx9608
From Fund:	Western Asset Government Reserves A2
To Fund:	ClearBridge Aggressive Growth Class A
Amount:	\$500.00

Please print this Page  or copy down the following information to provide a record of your transaction. The information will be helpful if you need to speak to one of our Client Service Representatives.

\*Active Pre-authorized Check Plan (PAC) notice on full exchange:



You have one or more Pre-authorized Check Plan(s) defined for the fund you just liquidated. If you would like to stop this plan going forward please [click here](#) to update.

## How to Restart your Pre-Authorized Checking (PAC) Plan

If you need to provide new bank account information to restart your PAC please complete and submit the [Start/Change Bank Draft Options Form](#) and send it along with a voided check or the [Provide Bank Information form](#). Your new bank account will be added and your PAC restarted.

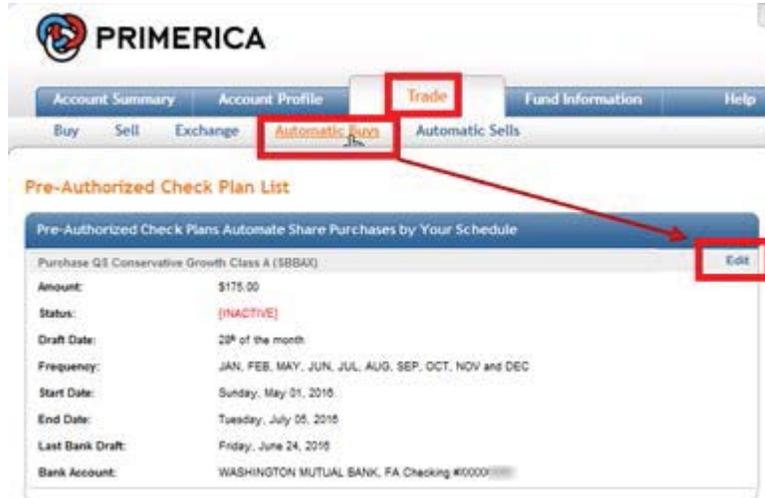
If you had an active PAC that you have stopped and would like to restart purchases using the same bank account, you may do so right on [Shareholder Account Manager \(SAM\)](#).

Once you have logged in:

Go to the 'Trade' tab and choose 'Automatic Buys'. A list of all PAC plans on the account will come up.

Stopped PACS will show 'INACTIVE' in red next to 'Status',

To restart an inactive PAC click on 'Edit' to the far right.



The Modify Plan screen will display:

### Modify Plan



**NOTE:** We are unable to stop a draft in process. To avoid returned item late fees you should submit your request at least 3 business days in advance.

### Edit Pre-Authorized Check Plan

Please make any desired changes and when complete click the Update button for final review and confirmation.

Position:

SBLGX - ClearBridge Large Cap Growth Class A

Purchase Amount:

Dollar amount you'd like to purchase every period. Minimum purchase amount is \$50.00.

You may restart the PAC by scrolling down to the section Marked 'Stop Date': Click on the drop down menu, scroll to the bottom, select 'Remove Stop Date'



Scroll down to the bottom of page and Click the box Update Plan to save your changes.



The Modify Plan page also offers many other options: you may change the amount of each purchase, the day of the month that purchases occur on, which months that purchase will occur, provided you have more than one bank account on file, you may switch the bank account being used for purchases and/or add an **'Automatic Increase'** to your PAC so you can plan for the future and have your PAC update by the amount you choose, when you choose without having to log back in.

### Edit Pre-Authorized Check Plan

Please make any desired changes and when complete click the Update button for final review and confirmation.

**Position:**  
SBLGX - ClearBridge Large Cap Growth Class A

**Purchase Amount:**  
Dollar amount you'd like to purchase every period. Minimum purchase amount is \$50.00.  
**NOTE: To avoid contributing an excess amount to your retirement account, confirm IRS limitations on annual contributions prior to making a change.**  
\$

**Draft Date:**  
Day of the month to buy shares.

**Months to Purchase Shares:**  
Which months to buy shares. Valid values are: YES - buy shares on the Draft Date of this month, NO - don't buy shares this month, and SKIP ONCE which means don't buy on the Draft Date of this month and then automatically switch to YES once passed.

JAN:	<input type="text" value="YES"/>	FEB:	<input type="text" value="YES"/>	MAR:	<input type="text" value="YES"/>
APR:	<input type="text" value="YES"/>	MAY:	<input type="text" value="YES"/>	JUN:	<input type="text" value="YES"/>
JUL:	<input type="text" value="YES"/>	AUG:	<input type="text" value="YES"/>	SEP:	<input type="text" value="YES"/>
OCT:	<input type="text" value="YES"/>	NOV:	<input type="text" value="YES"/>	DEC:	<input type="text" value="YES"/>

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**Direct Debit Bank Account:**  
Select a linked bank account to finance automatic purchases made on your behalf. Note that your bank account will typically be debitted prior to the Draft Day so that funds are available to execute your trade as requested.

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**Automatic Increase Program:**  
Optional program to automatically increase your purchase amount on a pre-defined schedule. To use, define *either* the dollar amount or the percentage you'd like to increase your purchases by and then define how often and when to begin your program. To stop an auto-increase program, set *both* the dollar and percentage amounts to 0 and the frequency and increase date to Inactive.

**Automatic Increase Amount**  
\$

**Automatic Increase Percentage**  
 %

**Automatic Increase Frequency**

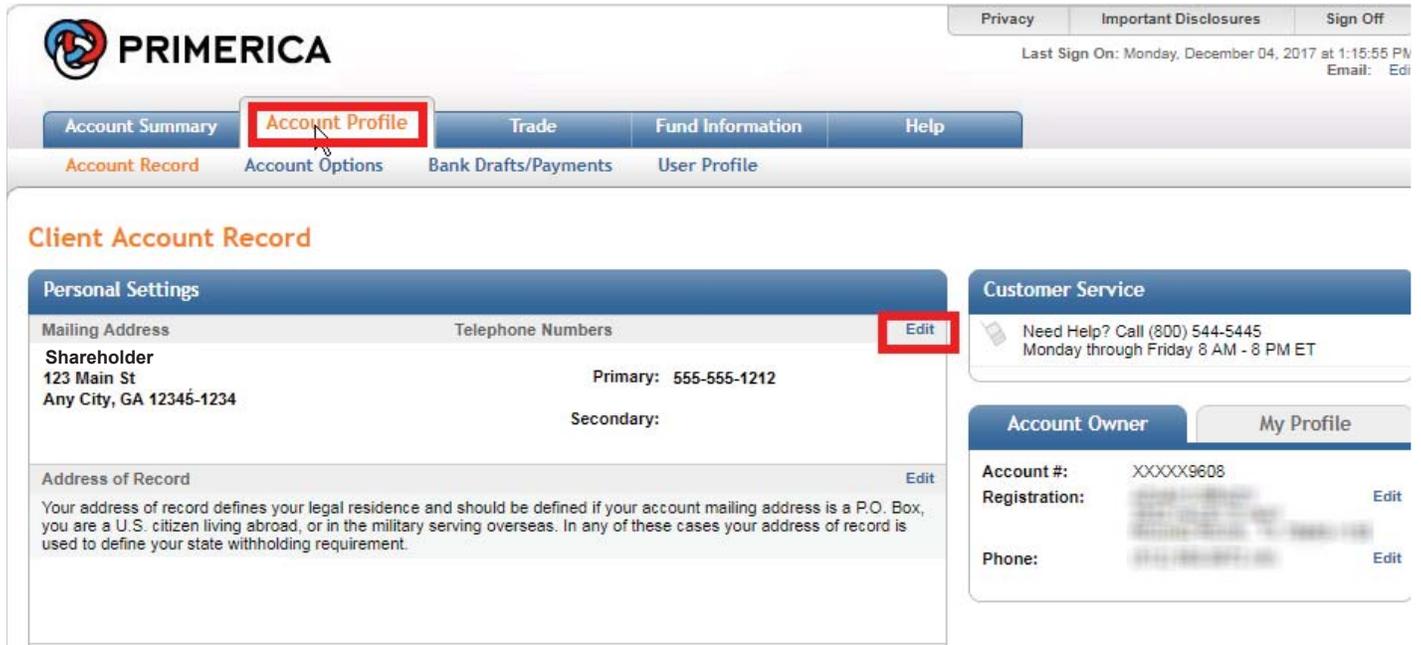
**Automatic Increase Next Process Date**

We hope you find this information useful and look forward to meeting all you investment needs.

## How to change your mailing address on Shareholder Account Manager (SAM)

To view and/or change your mailing address and/or your physical address online, please complete the following steps:

1. Sign on to [Primerica Shareholder Services](#).
2. If you have multiple accounts, click on the desired account number from your Account List; if you have a single account, the system will open on the **'Account Summary'** page for your account go to the next step.
3. Click on the **'Account Profile'** link to the right of **'Account Summary'**, it will default to the **'Account Record'** page.
4. Click **'Edit'** to the right of the current address and phone number on record.



**PRIMERICA**

Privacy | Important Disclosures | Sign Off  
Last Sign On: Monday, December 04, 2017 at 1:15:55 PM  
Email: Edi

Account Summary | **Account Profile** | Trade | Fund Information | Help

Account Record | Account Options | Bank Drafts/Payments | User Profile

### Client Account Record

**Personal Settings**

Mailing Address	Telephone Numbers	Edit
<b>Shareholder</b> 123 Main St Any City, GA 12345-1234	Primary: 555-555-1212  Secondary:	

**Address of Record** Edit  
Your address of record defines your legal residence and should be defined if your account mailing address is a P.O. Box, you are a U.S. citizen living abroad, or in the military serving overseas. In any of these cases your address of record is used to define your state withholding requirement.

**Customer Service**  
Need Help? Call (800) 544-5445  
Monday through Friday 8 AM - 8 PM ET

**Account Owner** | My Profile

Account #: XXXXX9608  
Registration: [Redacted] Edit  
Phone: [Redacted] Edit

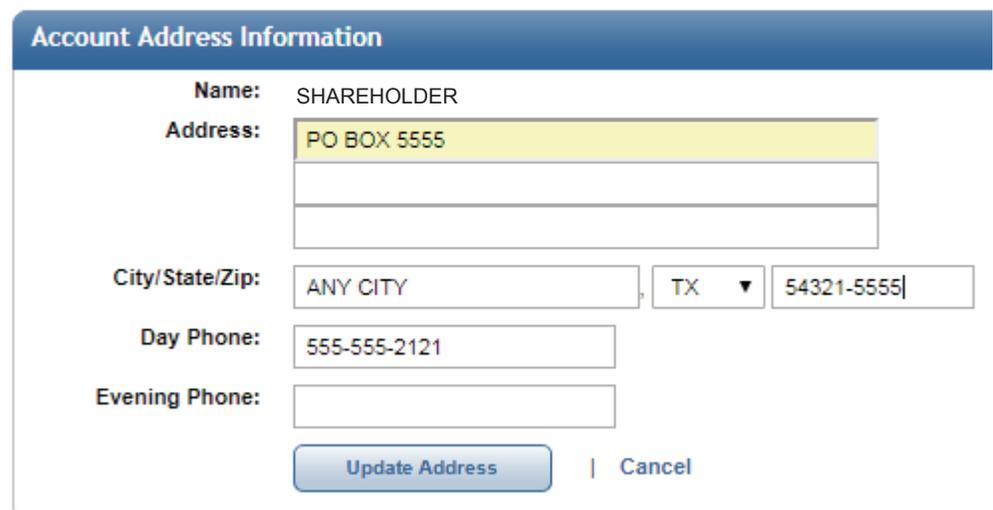
The **'Update Primary Account Registration'** page will open and allow you to enter your new address and phone number(s).

If your mail is sent to your home then update just the mailing address. If your mailing address is not your home address, for example you have a PO (Post Office) Box or you are a U.S citizen living aboard or in the military serving overseas, then after changing your mailing address you will also need to update the **'Address of Record'** with your physical address.

In this example the owner has moved and is now using a PO Box to receive mail.

First click **"Update Address"**

## Update Primary Account Registration



**Account Address Information**

Name: SHAREHOLDER

Address: PO BOX 5555

City/State/Zip: ANY CITY, TX, 54321-5555

Day Phone: 555-555-2121

Evening Phone:

Update Address | Cancel

Now the address is reviewed. If anything was not entered correctly, click on “**Previous**” to make any changes. If the change is correct, click on “**Confirm Changes**” to save the update.

## Update Address

### Account Address Information

Your requested changes are not saved until confirmed. Please review your changes and, if correct, press Confirm Changes to save your updates. Otherwise, press Modify Address to make additional changes.

**Name:** SHAREHOLDER  
**Address:** PO BOX 5555  
**City/State/Zip:** ANY CITY, TX 54321-5555  
**Day Phone:** 555-555-2121  
**Evening Phone:**

[Confirm Changes](#) | [Previous](#) | [Cancel](#)

You will now return to the “**Client Account Record**” with a message that the record has been updated.

If this was a physical address the address change would be complete. In this case since a PO Box is being used the ‘**Address of Record**’ should also be updated with a residential address. This applies any time you are living somewhere else other than the address where your mail is being sent, such as on a military base overseas or a US Citizen living abroad.

Click ‘**Edit**’ next to ‘Address of Record’

## Client Account Record

 Your account record has been updated as requested.

### Personal Settings

Mailing Address	Telephone Numbers	Edit
SHAREHOLDER PO BOX 5555 ANY CITY, TX 54321-5555	<b>Primary:</b> (555) 555-2121 <b>Secondary:</b>	
<b>Address of Record</b>		<b>Edit</b>

Your address of record defines your legal residence and should be defined if your account mailing address is a P.O. Box, you are a U.S. citizen living abroad, or in the military serving overseas. In any of these cases your address of record is used to define your state withholding requirement.

123 MAIN ST  
ANY CITY, GA 12345-1234

Enter the new physical address and click on “**Update Address of Record**” to continue

## Modify Address

### Address of Record Information

The address of record is the street address of the shareholder's residence. P.O. Boxes and Suite addresses are not acceptable for address of record.

**Name:**

**Address:**

**City, State Zip:**

[Update Address](#) [Cancel Update](#)

Again the address is reviewed and if anything was not entered correctly, click on **“Modify Address”** to make any changes. If the address is correct, click **“Confirm Changes”** to save the change.

## Update Address

**Address of Record Information**

The address of record is the street address of the shareholder's residence. P.O. Boxes and Suite addresses are not acceptable for address of record.

**Your requested changes are not saved until confirmed.** Please review your changes and, if correct, press Confirm Changes to save your updates. Otherwise, press Modify Address to make additional changes.

**Name:** SHAREHOLDER  
**Address:** 212 MAIN ST  
**City, State Zip:** AUSTIN, TX 78681

This returns you to the **‘Client Account Record’** with a message **‘Your account has been updated as requested.’** It now shows your correct mailing and physical address.

## Client Account Record

 Your account record has been updated as requested.

**Personal Settings**

Mailing Address	Telephone Numbers	Edit
SHAREHOLDER PO BOX 5555 ANY CITY, TX 54321-5555	<b>Primary:</b> (555) 555-2121 <b>Secondary:</b>	
<b>Address of Record</b>		<a href="#">Edit</a>
Your address of record defines your legal residence and should be defined if your account mailing address is a P.O. Box, you are a U.S. citizen living abroad, or in the military serving overseas. In any of these cases your address of record is used to define your state withholding requirement.		
SHAREHOLDER 212 MAIN ST AUSTIN, TX 78681		

That's it you're done!